

University of Cologne
Cologne Center for Comparative Politics
WS 2015/16

14335.0073: Seminar Vergleichende Analyse Politischer Institutionen:
Comparative Local Governments

Dr. Uğur Sadioğlu

Time: Thursday 4.00 pm – 5.30 pm

Place: 203 Gottfried-Keller-Strasse 6

Outline of the Course

The undergraduate course “Comparative Local Governments” has an interdisciplinary nature combining empirical and theoretical approaches on local governments from the fields of political science, public administration and public policy studies. We will analyze local governmental systems, local government typologies and inter-governmental relations in political-administrative systems. Local politics composes a new research field in comparative political science since issues such as decentralisation, local governance and democracy, grassroots democracy movements, locality, participatory administration and reform, local cultures and communities gained greater demand from individual actors and, thus, increased attention from the scientific community in the last decades. In the last 30 years, large states experienced intensive political and administrative reforms, and such reformation highly influenced local governments and local politics at every instance. Thus, we will focus on sub-national administrative systems in a sample of states, which are generally European but also from Asia, Africa and Latin America, explore the variation in administrative systems and examine differences in outcome of the administrative reformation processes.

The first part of the seminar discusses scope, aims, method and components of the comparative perspective on local governments, approaching the field with a variety of theoretical frameworks which lead the scientific debate. The second part of the seminar introduces specific country studies from several continents, with a focus on European and developed countries. In this context, we discuss normative values and the basis of local governments. Subsequently, we will investigate mile stones of local authorities development, the legal basis of local entities and decentralization reforms, and the roles played by local governments in overall public administration. We further explore the functions and competences of local governments, differentiating different types and models of local governments and place particular emphasis on consequences of managerial reforms on local governments, which have recently gone through new trends and developments regarding

structure, process and policy making. In the last part of the seminar we will select particular country case to apply new approaches for local governmental reforms, before we move to the analysis of the relationship between local governments and the central unit and competing organs of local governments. This involves studying the effects of administrative reform on local governments' duties, authorities, responsibilities and resource distribution between central and local government. The course also considers global actors, institutions and dynamics of local governments and draws on examples from the European Union in order to develop a comparative perspective.

Learning Goals

In this class, students will learn about the normative basis and historical developments of local governments in a nutshell and will be equipped with definitions of specific features of different traditional systems of local governments. By comparing basic characteristics of local governments (institutions, functions, competences, governmental models, governance, etc.) and by evaluating diverse patterns of their organization, students will strengthen their analytical skills. Building on the main theories on local governments learned in this course, students will be able to discuss the challenges of political, administrative, financial, economic, socio-cultural and technological changes and transformations in the field of local governments. The course develops a more genuine understanding of the effects of local, regional, national and international dynamics which shape local governments and administrative reform.

Prerequisites

A general knowledge of main political science and public administration concepts, theories and basic methods is required. The course language is English. Therefore, students should be able to understand, write and articulate themselves in English. Perfection is not required - you only need to be able to follow and to make yourself understood.

Course Assessment and Grading

Student assessment is based on regular attendance and active class participation, presentation, and a term paper. Students are expected to regularly attend the classes, complete the assigned required readings before class and actively contribute to class discussions. After the fifth week, each student or a group of students is required to give a presentation on the review of local governments and politics in a country case study. They should summarize the main characteristics of the local governments and politics, outcomes of the administrative and political reform and current discussions in their presentations. The presentations should be submitted to the course lecturer one week before the beginning of each class.

The term paper should bear on the themes discussed in class and contain no more than 5.000 words (approximately 15-20 pages). You should be clear about your theory, data and methodological approach. It is vital to develop a clear research design that supports your analytical interest. The paper is due on **February 18th 2016** (24:00).

The grading of the course has the following components and weights:

In-class participation:	20%
Presentation:	30%
Term paper:	50%

Registration

Please register via KLIPS. If you cannot use KLIPS, please register by sending me an email (usadiogl@uni-koeln.de).

Course Material

At the beginning of October a reader with the required texts for the sessions of the seminar can be bought at Copy-Star (Zülpicher Straße 184, 50937 Köln).

Academic Support

I am committed to assisting you in excelling in this course. Please do not hesitate to consult with me if you experience difficulty with this course for any reason. I am available for appointments throughout the week. You can request an appointment by sending me an email at usadiogl@uni-koeln.de.

Weekly Course Outline and Basic Reading Recommendations

Week 1: 22 October 2015

First Meeting and Introduction

Required readings:

Neuman, Gerald L. (2013), "Subsidiarity", *The Oxford Handbook of International Human Rights Law*, p. 1-10, DOI: 10.1093/law/9780199640133.003.0016.

Wegrich, Kai (2007), "Center-Local Relations", In Bevir, Mark (ed.), *Encyclopedia of Governance I*, California: Sage Publications, **pp 74-78**.

Loughlin, John (2007), "Decentralization", In Bevir, Mark (ed.), *Encyclopedia of Governance I*, California: Sage Publications, **pp 196-199**.

Blatter, Joachim K. (2007), "Glocalization", In Bevir, Mark (ed.), *Encyclopedia of Governance I*, California: Sage Publications, **pp 357-359**.

Greener, Ian (2007), "Localization", In Bevir, Mark (ed.), *Encyclopedia of Governance II*, California: Sage Publications, **pp 534-535**.

Schaap, Linze (2007), "Local Governance", In Bevir, Mark (ed.), *Encyclopedia of Governance II*, California: Sage Publications, **pp 531-534**.

Optional readings:

Miller, William L., Dickson, M., Stoker, G. (2000), *Models of Local Governance – Public Opinion and Political Theory in Britain*, London, UK: Palgrave Macmillan, **pp. 11-32**.

Cook, Brian J. (2007), *Democracy and Administration – Woodrow Wilson's Ideas and the Challenges of Public Management*, Baltimore, Maryland: The Johns Hopkins University Press.

Week 2: 29 October 2015

Evolution of Local Government through ages and learning about historical background of the local governments.

Required readings:

Sharpe, L. J. (1970), *Theories and Values of Local Government*, *Political Studies*, 18 (2), pp 152-174.

Wollmann, H. (2006) *The Fall and Rise of the Local Community: A Comparative and Historical Perspective*. *Urban Studies*, 43(8), pp 1419-1438.

Optional readings:

Chandler, Jim (2010), "A Rationale for Local Government", *Local Government Studies*, 36(1), pp 5-20.

Morphet, J. (2008), *Modern Local Government*, London: Sage Publication.

John, P. (2001), *Local Governance in Western Europe*, London: Sage Publication.

Week 3: 05 November 2015

Theoretical Framework and New Approaches for Comparative Local Governments

Required readings:

Lidström, Anders (1999), "The Comparative Study of Local Government Systems – A Research Agenda", *Journal of Comparative Policy Analysis: Research and Practice*, 1, pp 97-115.

Wolman, Harold (2008), "Comparing local government systems across countries: conceptual and methodological challenges to building a field of comparative local government studies", *Environment and Planning C: Government and Policy*, 26, pp 87-103.

Optional readings:

Alderfer, Harold F. (1964), *Local Government in Developing Countries*, USA: McGraw-Hill. (Chapter 1, Chapter 11, Chapter 12)

Kuhlmann, Sabine, Bogumil, J., Ebinger, F., Grohs, S. and Reiter, R. (2011), *Dezentralisierung des Staates in Europa: Auswirkungen auf die kommunale Aufgabenerfüllung in Deutschland, Frankreich und Großbritannien*, Wiesbaden: VS Verlag für Sozialwissenschaften. (Chapter 1)

Week 4: 12 November 2015

Theoretical Framework and New Approaches for Comparative Local Governments

Required readings:

Denters, Bas and Rose, Lawrence E. (eds.) (2005), *Comparing Local Governance: Trends and Developments*, UK: Palgrave Macmillan. (Chapter 1, Chapter 14, Chapter 15)

Kuhlmann, Sabine and Wollmann, H. (2014), *Introduction to Comparative Public Administration*, UK: Edward Elgar. (Chapter 2, Chapter 4)

Optional Readings:

Grindle, Merilee S. (2009), *Going Local – Decentralization, Democratization, and The Promise of Good Governance*, New Jersey: Princeton University Press. (Chapter 1, Chapter 8)

Shah, Anwar (2006) (Ed.), *Local Governance in Developing Countries*, Washington: The World Bank. (Chapter 1)

Miller, William L., Dickson, M., Stoker, G. (2000), *Models of Local Governance – Public Opinion and Political Theory in Britain*, London, UK: Palgrave Macmillan.

Berg, Rikke and Rao, Nirmala (2005), *Transforming Local Political Leadership*, Hampshire: Palgrave Macmillan. (Chapter 1, 14)

Heinelt, Hubert, Sweeting, D. and Getimis, Panagiotis (2006), *Legitimacy and Urban Governance – A cross-national comparative study*, Oxon: Routledge. (Part I)

Week 5: 19 November 2015

Main Traditions of Local Governments in Europe and World: The Continental European Model Napoleonic, The Continental European Federal Model, The Scandinavian Model, The Anglo-Saxon Model, The Soviet Experience, The Central Eastern European Model.

Required Readings:

Alderfer, Harold F. (1964), *Local Government in Developing Countries*, USA: McGraw-Hill. (Chapter 1)

Goldsmith, M. (1992), *Local Government*, *Urban Studies*, 29 (3/4), pp 393-410.

Optional readings:

Kuhlmann, Sabine and Wollmann, H. (2014), *Introduction to Comparative Public Administration*, UK: Edward Elgar. (Chapter 3)

Goldsmith, Michael (2002), *Central Control over Local Government – A Western European Comparison*, *Local Government Studies*, 28 (3), 91-112.

Week 6: 26 November 2015

Case Studies – 1. United Kingdom

Required readings:

Wilson, David and Game, Chris (2011), *Local Government in the United Kingdom*, UK: Palgrave Macmillan. (Chapter 5, Chapter 6, Chapter 7, Chapter 9, Chapter 15, Chapter 16, Chapter 19)

Varney, Michael (2013), “United Kingdom – Local government in England: Localism delivered?”, In C. Panara and M. Varney (eds.), *Local Government in Europe: The ‘fourth level’ in the EU multilayered system of governance*, Oxon: Routledge, s. 330-368.

Optional readings:

Cole, Michael (2008), “Local Government Reforms in Britain”, In Dollery, Brian E., Joseph Garcea and Edward C. LeSage Jr. (Eds.), *Local Government Reform: A Comparative Analysis of Advanced Anglo-American Countries*, UK: Edward Elgar, s.75-103.

Callanan, Mark and Muir MacCarthaigh (2008), “Local Government Reforms in Ireland”, In Dollery, Brian E., Joseph Garcea and Edward C. LeSage Jr. (Eds.), *Local Government Reform: A Comparative Analysis of Advanced Anglo-American Countries*, UK: Edward Elgar, s.104-132.

Leach, Steve (2006), *The Changing Role of Local Politics in Britain*, Bristol: University of Bristol The Polity Press.

Ezzamel, Mahmoud, Hyndman, N., Johnsen, A. and Lapsley, I. (2008), *Accounting in Politics – Devolution and democratic accountability*, Oxon: Routledge.

Week 7: 03 December 2015

Case Studies – 2. France

Required readings:

Couzigou, Irene (2013), “France – Territorial decentralisation in France: Towards autonomy and democracy”, In C. Panara and M. Varney (eds.), *Local Government in Europe: The ‘fourth level’ in the EU multilayered system of governance*, Oxon: Routledge, s. 73-96.

V. Hoffmann-Martinot ve H. Wollmann (Eds.) (2006) *State and Local Government Reforms in France and Germany*, Wiesbaden: VS Verlag für Sozialwissenschaften, 11-37.

Optional readings:

Ebinger, F., Grohs, S. and Reiter, Renate (2011), *The Performance of Decentralisation Strategies Compared: An Assessment of Decentralisation Strategies and their Impact on Local Government Performance in Germany, France and England*, *Local Government Studies*, 37 (5), 553-575.

Case Studies – 5. Spain

Optional readings:

Agranoff, R. (2010), *Local Governments and their Intergovernmental Networks in Federalizing Spain*, Montreal and Kingston, McGill-Queen’s University Press. (Chapter 1, Chapter 2, Chapter 3, Chapter 4)

Puig, Gonzalo Villalta (2013), “Spain – Ungovernable Spain: Regional subsidiarity and the crisis of local governance”, In C. Panara and M. Varney (eds.), *Local Government in Europe: The ‘fourth level’ in the EU multilayered system of governance*, Oxon: Routledge, s. 277-304.

Sweeting, D. (2012), *Analysing Local Political Management in Spain*, *Local Government Studies*, 38 (2), 231-247.

Week 8: 10 December 2015

Case Studies – 3. Germany

Required readings:

Frenzel, Eike Michael (2013), “Germany – Local government in Germany: An indispensable level of EU governance”, In C. Panara and M. Varney (eds.), *Local Government in Europe: The ‘fourth level’ in the EU multilayered system of governance*, Oxon: Routledge, s. 97-127.

Elcock, H. (1998), *German Lessons in Local Government: The Opportunities and Pitfalls of Managing Change*, *Local Government Studies*, 24 (1), 41-59.

Optional readings:

V. Hoffmann-Martinot ve H. Wollmann (Eds.) (2006) *State and Local Government Reforms in France and Germany*, Wiesbaden: VS Verlag für Sozialwissenschaften, 11-37.

Bogumil, J. (2006). *Administrative Modernisation and Logic of Politics – Impact of the New Steering Model on Relations between Local Government Politics and Administration*. *German Journal of Urban Studies*, 45 (2), 13-25.

Kuhlmann, S. (2009). *Reforming Local Government in Germany: Institutional Changes and Performance Impacts*. *German Politics*, 18(2), 226-245.

Ebinger, F., Grohs, S. and Reiter, Renate (2011), *The Performance of Decentralisation Strategies Compared: An Assessment of Decentralisation Strategies and their Impact on Local Government Performance in Germany, France and England*, *Local Government Studies*, 37 (5), 553-575.

Week 9: 17 December 2015

Case Studies - **4. Sweden**

Required readings:

Persson, Vilhelm (2013), "Sweden – Local government in Sweden: Flexibility and independence in a unitary state", In C. Panara and M. Varney (eds.), *Local Government in Europe: The 'fourth level' in the EU multilayered system of governance*, Oxon: Routledge, s. 305-329.

Erlingsson, G. O. and Ödalen, J. (2013), How Should Local Government Be Organised? Reflections from a Swedish Perspective, *Local Government Studies*, 39 (1), 22-46.

Optional readings:

Granberg, M. (2008), Local Governance 'in Swedish'? Globalisation, Local Welfare Government and Beyond, *Local Government Studies*, 34 (3), 363-377.

Szücs, S. and Strömberg, L. (2009), The More Things Change, the More They Stay the Same: the Swedish Local Government Elite between 1985 and 2005, *Local Government Studies*, 35 (2), 251-270.

Bergström, T. (2014), The Omnipresent State? How Local is Local Government?, In: Ömürgönülşen, U. and Sadioğlu, U. (Eds.), *Local Governance Today: European and Turkish Experience in Local Politics, Democracy and Governance and Reciprocal Lessons*, Ankara: Union of Municipalities of Turkey, pp. 39-52.

Week 10: 24 December 2015: Christmas Holiday

Week 11: 07 January 2016

Case Studies – **6. Central and Eastern Europe**

Required readings:

Campbell, A. (2006), State versus society? Local Government and the reconstruction of the Russian state, *Local Government Studies*, 32 (5), 659-676.

Coulson, A. and Campbell (2006), Local Government in Central and Eastern Europe: Introduction, *Local Government Studies*, 32 (5), 539-541.

Swianiewicz, P. (2014), An Empirical Typology of Local Government Systems in Eastern Europe, *Local Government Studies*, 40 (2), 292-311.

Optional readings:

Lankina, Tomila V., Anneke Hudalla and Hellmut Wollmann (2008), *Local Governance in Central and Eastern Europe: Comparing Performance in the Czech Republic, Hungary, Poland and Russia*, New York: Palgrave Macmillan.

Banaszak, Boguslaw (2013), "Poland – Local government in Poland: Towards consolidation?", In C. Panara and M. Varney (eds.), *Local Government in Europe: The 'fourth level' in the EU multilayered system of governance*, Oxon: Routledge, s. 255-276.

Week 12: 14 January 2016

Case Studies – **7. USA**

Required readings:

Hambleton, Robin (1996), "Reinventing Local Government – Lessons from the US", *Local Government Studies*, 22 (1), pp 93-112.

Svara, James and Christopher Hoene (2008), "Local Government Reforms in the United States", In Dollery, Brian E., Joseph Garcea and Edward C. LeSage Jr. (Eds.), *Local Government Reform: A Comparative Analysis of Advanced Anglo-American Countries*, UK: Edward Elgar, pp 133-157.

Optional readings:

Mouritzen, Paul Erik and Svara, J. H. (2002), *Leadership at the Apex: Politicians and Administrators in Western Local Governments*, Pittsburgh: University of Pittsburgh.

Svara, James H. (2009), *The Facilitative Leader in City Hall – Reexamining the Scope and Contributions*, Boca Raton: CRC Press Taylor & Francis Group.

Week 13: 21 January 2016

Case Studies – **8. South Africa**

Required readings:

Villiers, Bertus De (2008), *Review of Provinces and Local governments in South Africa: Constitutional Foundations and Practice*, South Africa: Konrad-Adenauer Stiftung. (Chapter 5, Chapter 8)

Optional readings:

Heymans, Chris (2006), "Local Government Organization and Finance: South Africa", In Anwar Shah (Ed.), *Local Governance in Developing Countries*, Washington: The World Bank, pp 47-91.

Week 14: 28 January 2016

Case Studies – **9. China**

Required readings:

Derleth, James and Koldyk, Daniel R. (2004), "The Shequ experiment: grassroots political reform in urban China", *Journal of Contemporary China*, 13 (41), pp 747-777.

Zhang, Jingxiang and Wu, Fulong (2006), "China's changing economic governance: Administrative annexation and the reorganization of local governments in the Yangtze River Delta", *Regional Studies*, 40 (1), pp 3-21.

Optional readings:

Zhong, Yang (2003), *Local Government and Politics in China: challenges from below*, New York: M. E. Sharpe.

Qiao, Baoyun and Shah, A. (2006), "Local Government Organization and Finance: China", In Anwar Shah (Ed.), *Local Governance in Developing Countries*, Washington: The World Bank, pp 137-166.

Week 15: 04 February 2016

Case Studies – **10. India**

Required readings:

Aijaz, Rumi (2008), "Form of Urban Local Government in India", *Journal of Asian and African Studies*, 43 (2), 131-154.

Mathur, Om Prakash (2006), "Local Government Organization and Finance: Urban India", In Anwar Shah (Ed.), *Local Governance in Developing Countries*, Washington: The World Bank, pp 169-203.

Optional readings:

Alok, V. N. (2006), "Local Government Organization and Finance: Rural India", In Anwar Shah (Ed.), *Local Governance in Developing Countries*, Washington: The World Bank, pp 205-230.

Week 16: 11 February 2016

Case Studies – **11. South America: Brazil and Argentina**

Require readings:

Afonso, Jose Roberto Rodrigues and Araujo, E. A. (2006), "Local Government Organization and Finance: Brazil", In Anwar Shah (Ed.), *Local Governance in Developing Countries*, Washington: The World Bank, pp 381-416.

Asensio, Miguel Angel (2006), "Local Government Organization and Finance: Argentina", In Anwar Shah (Ed.), *Local Governance in Developing Countries*, Washington: The World Bank, pp 347-377.