

**Summer Term 2013**  
**University of Cologne**  
**Faculty of Management, Economics and Social Sciences**  
**Cologne Center for Comparative Politics (CCCP)**  
**Chair of International Comparative Political Economy and Economic Sociology**  
**Master Course:** Case Study Methods in Comparative Political Economy  
**ECTS:** 6  
**Instructor:** Prof. Dr. Christine Trampusch  
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**Phone:** 0221 470 8807  
**Office Hours:** Please write an email to: christine.trampusch@uni-koeln.de  
**Time:** Wednesday, 16.00-17.30 o'clock (First session, 10.04.2013)  
**Room:** 106 Seminargebäude (Seminar Building) - S 23 (Seminar Room)

**Description:** In comparative political economy case study methods are used for theory building and theory tests (in the sense of plausibility probes). On the one hand, there are single case study methods such as analytic narrative and process tracing. On the other hand, case oriented researchers apply comparative case study methods such as parallel demonstration of theory, the method of contrasting contexts and the methods of agreement and difference. The course introduces into these methods theoretically, methodologically and practically. In addition, we discuss conceptions of causality and strategies of case selection. Students also have the possibility to present their own research designs.

**Requirements and evidence of academic achievement:** Each student has to prepare the compulsory reading in advance. Furthermore, each student has to write one essay which she/he will present in the course. The essays have to be handed in latest on Monday 12pm before the respective paper is discussed in the course. In addition, each student has to write a report in which he/she applies a special case study method to address a particular research question or in which she/he gives a critical overview over methodological literature about a special case study method. Students will be graded on the quality of their presentations and essays and the quality of the term paper (*Hausarbeit*). The reports have to be delivered latest at the end of August 31, 2013. The report should not exceed 10.000 words. Own research on literature/data/material is required. All reports are checked for plagiarism. Please read: <http://www.bridge.uni-koeln.de/14499.html?&L=1> (-> Further information about plagiarism). We use the software "turnitin" to check for plagiarism: <http://www.wiso-it.uni-koeln.de/turnitin.html>. (Both pages are in German.)

**Reading:** All texts are available at the Ilias-website of the course and as printed versions for copying in a seminar folder at the library of the Forschungsinstitut (Gottfried-Keller-Str. 6).

**General references on methodological books which are recommended for further reading.**  
**This books are collected in an extra seminar folder at the library of the Forschungsinstitut:**

- Beach, Derek/Pedersen, Rasmus B. (2012): *Process Tracing Methods – Foundations and Guidelines*. Ann Arbor: University of Michigan Press.
- Brady, Henry/Collier, David (2004): *Rethinking Social Inquiry. Diverse Tools, Shared Standards*. Lanham: Rowman & Littlefield.
- George, Alexander L./Bennett, Andrew (2005): *Case Studies and Theory Development in the Social Sciences*. Cambridge: MIT Press.
- Gerring, John (2007): *Case Study Research: Principles and Practices*. New York: Cambridge University Press.
- Goertz, Gary (2006): *Social Science Concepts. A User's Guide*. Princeton: Princeton University Press.
- Haverland, Markus/Blatter, Joachim (2012): *Designing Case Studies: Explanatory Approaches in Small-N Research*. Basingstoke: Palgrave McMillan.
- King, Gary/Keohane, Robert/Verba, Sidney (1994): *Designing Social Inquiry. Scientific Inference in Qualitative Research*. Princeton: Princeton University Press.
- Mahoney, James/Rueschemeyer, Dietrich (2003): *Comparative Historical Analysis in the Social Sciences*. Cambridge: Cambridge University Press.
- Ragin, Charles (1987): *The Comparative Method: Moving beyond Qualitative and Quantitative Strategies*. Berkeley: University of California Press.

| Date   | Topic   |
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| 10.04. | <b>Introduction</b>   |
| 17.04. | <b>Research Designs &amp; Case Studies</b><br><b>Compulsory reading:</b> <ul style="list-style-type: none"> <li>• George, Alexander L./Bennett, Andrew (2005): <i>Case Studies and Theory Development in the Social Sciences</i>. Cambridge: MIT Press, 73-124.</li> </ul>  |
| 24.04. | <b>"A Tale of Two Cultures": Case Studies vs. Quantitative Methods</b><br><b>Compulsory reading:</b> <ul style="list-style-type: none"> <li>• Mahoney, James/Gary, Goertz (2006): A Tale of Two Cultures: Contrasting Quantitative and Qualitative Research. In: <i>Political Analysis</i> 14(3): 227-249.</li> </ul> <b>Students' presentations:</b> <ul style="list-style-type: none"> <li>• <b>Presentation 1, N.N.:</b> King, Gary/Keohane, Robert O./Verba, Sidney (1994): <i>Designing Social Inquiry</i>. Princeton: Princeton University Press, 3-34.</li> <li>• <b>Presentation 2, N.N.:</b> Ragin, Charles (1997): Turning the Tables: How Case-oriented Research Challenges Variable-oriented Research. In: <i>Comparative Social Research</i> 16: 27-42.</li> </ul>   |
| 08.05. | <b>Causality and Causal Mechanisms</b><br><b>Compulsory reading:</b> <ul style="list-style-type: none"> <li>• Gerring, John (2005): Causation. A Unified Framework for the Social Sciences. In: <i>Journal of Theoretical Politics</i> 17(2): 163-198.</li> </ul> <b>Students' presentations:</b> <ul style="list-style-type: none"> <li>• <b>Presentation 3, N.N.:</b> Hedström, Peter/Ylikoski, Petri (2010): Causal Mechanisms in the Social Sciences. In: <i>Annual Review of Sociology</i> 36(1): 49-67.</li> <li>• <b>Presentation 4, N.N.:</b> Falletti, Tullia G./Lynch, Julia (2009): Context and Causal Mechanism in Political Analysis. In: <i>Comparative Political Studies</i> 42(9): 1143-1166.</li> </ul>  |
| 15.05. | <b>Case Selection</b><br><b>Compulsory reading:</b> <ul style="list-style-type: none"> <li>• Levy, Jack S. (2008): Case Studies: Types, Designs, and Logics of Inference. In: <i>Conflict Management and Peace Science</i> 25: 1-18.</li> </ul> <b>Students' presentations:</b> <ul style="list-style-type: none"> <li>• <b>Presentation 5, N.N.:</b> Mahoney, James/Goertz, Gary (2004): The Possibility Principle: Choosing Negative Cases in Comparative Research. In: <i>American Political Science Review</i> 98(4): 653-669.</li> <li>• <b>Presentation 6, N.N.:</b> Rueschemeyer, Dietrich (2003): Can One or a Few Cases Yield Theoretical Gains? In: James Mahoney/Dietrich Rueschemeyer (Hrsg.): <i>Comparative Historical Analysis in the Social Sciences</i>. Cambridge: Cambridge University Press, 305-336.</li> </ul> <b>I recommend Eckstein's seminal article (1975) as additional reading:</b> Eckstein, Harry (1975): Case Study and Theory in Political Science. In: Fred I. Greenstein/Nelson W. Polsby (Eds.): <i>Handbook of Political Science</i> Reading: Addison-Wesley, 79-138 [Reprint 1992].<br>This book is available in the library of the Forschungsinstitut. |
| 29.05. | <b>Comparative Method</b><br><b>Compulsory reading:</b> <ul style="list-style-type: none"> <li>• Lieberman, Stanley (1991): Small N's and Big Conclusions: An Examination of the Reasoning in Comparative Studies Based on a Small Number of Cases. In: <i>Social Forces</i> 70(2): 307-320.</li> </ul> <b>Students' presentations:</b> <ul style="list-style-type: none"> <li>• <b>Presentation 7, N.N.:</b> Geddes, Barbara (1990): How the Cases You Choose Affect the Answers You Get: Selection Bias in Comparative Politics. In: <i>Comparative Analysis</i> 2(1): 131-150.</li> <li>• <b>Presentation 8, N.N.:</b> Trampusch, Christine (2010): Employers, the State, and the Politics of Institutional Change. Vocational Education and Training in Austria, Germany and Switzerland. In: <i>European Journal of Political Research</i> 49(4): 545-573.</li> </ul>  |

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| 05.06. | <p><b>Parallel Demonstration of Theory &amp; Contrast of Contexts</b></p> <p><b>Compulsory reading:</b></p> <ul style="list-style-type: none"> <li>• Skocpol, Theda/Somers, Margaret (1980): The Uses of Comparative History in Macrosocial Inquiry. In: <i>Comparative Studies in Society and History</i> 22(2): 174-197.</li> </ul> <p><b>Students' presentations:</b></p> <ul style="list-style-type: none"> <li>• <b>Presentation 9, N.N.:</b> Bendix, R. (1978): <i>Kings or People: Power and the Mandate to Rule</i>. Berkeley: University of California Press. (PDT)</li> <li>• <b>Presentation 10, N.N.:</b> Paige, Jeffery M. (1975): <i>Agrarian Revolution: Social Movements and Export Agriculture in the Underdeveloped World</i>. New York/London: The Free Press. (CC)</li> </ul> <p><b>Please note: These books are available in the library of the Forschungsinstitut. Presenters are asked for shortly summarizing how the respective methods are applied in these two studies.</b></p> |
| 12.06. | <p><b>Analytic Narrative</b></p> <p><b>Compulsory reading:</b></p> <ul style="list-style-type: none"> <li>• Bates, Robert H et al. (1998): <i>Analytic Narratives</i>. Princeton: Princeton University Press, S. 3-22; 231-238.</li> <li>• Bates, Robert H et al. (2000): Review: The Analytical Narrative Project. In: <i>American Political Science Review</i> 94(3): 696-702.</li> </ul> <p><b>Students' presentations:</b></p> <ul style="list-style-type: none"> <li>• <b>Presentation 13, N.N.:</b> Bergstöm, Carl-Fredrik/Farrell, Henry/Héritier, Adrienne (2007): Legislate or delegate? Bargaining over implementation and legislative authority in the EU. In: <i>West European Politics</i> 30(2): 338-366.</li> <li>• <b>Presentation 14, N.N.:</b> Bates, Robert H. (1998): The International Coffee Organization. In: Bates, Robert H. et al. (Hrsg.): <i>Analytic Narratives</i>. Princeton: Princeton University Press, 194-230.</li> </ul>   |
| 19.06. | <p><b>Process Tracing I</b></p> <p><b>Compulsory reading:</b></p> <ul style="list-style-type: none"> <li>• George, Alexander L./Bennett, Andrew (2005): <i>Case Studies and Theory Development in the Social Sciences</i>. Cambridge: MIT Press, 181-232.</li> </ul> <p><b>Students' presentations:</b></p> <ul style="list-style-type: none"> <li>• <b>Presentation 15, N.N.:</b> Büthe, Tim (2002): Taking Temporality Seriously: Modeling History and the Use of Narratives as Evidence. In: <i>American Political Science Review</i> 96(3): 481-493.</li> <li>• <b>Presentation 16, N.N.:</b> Schimmelfennig, Frank (2001): The Community Trap: Liberal Norms, Rhetorical Action, and the Eastern Enlargement of the European Union. In: <i>International Organization</i> 55(1): 47-80.</li> </ul>  |
| 26.06. | <p><b>Process Tracing II</b></p> <p><b>Compulsory reading:</b></p> <ul style="list-style-type: none"> <li>• Hall, Peter (2008): Systematic Process Analysis: When and How to use it. In: <i>European Political Science</i> 7(3): 304-317.</li> </ul> <p><b>Students' presentations:</b></p> <ul style="list-style-type: none"> <li>• <b>Presentation 17, N.N.:</b> Trampusch, Christine (2012): Gaps and Merits of Institutionalism: A Systematic Process Analysis of Rating in Germany; unpublished paper.</li> <li>• <b>Presentation 18, N.N.:</b> Mahoney, James (2012): The Logic of Process Tracing Tests in the Social Sciences. In: <i>Sociological Methods &amp; Research</i> 41(4): 570-597.</li> </ul>   |
| 03.07. | <b>Presentation of Students' Research Designs</b>  |
| 10.07. | <b>Presentation of Students' Research Designs</b>  |
| 17.07  | <b>Conclusions</b>   |